



High 9 risks
 Medium 7 & 8 risks
 Risks to be deleted from next 1/4 profile
 Risk reduced from last 1/4 profile
 New risks

Appendix A									
Risks and Action Plan									
Risk Identified	Risk Owner	Action Required to Address Risk	Target Date	Risk Category	Current progress position /	Status	Status	Current Rating	
		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 02/11/2015	as at March 15	as at June 15	as at Sept 15	
1	Potential Claims growth	Chief Executive	The Council has robust systems in place both to deal with claims when they happen and also to prevent, where possible, the circumstances where claims could arise. In doing so, the Council has in place policies and procedures designed to enhance safety at work and also to advise staff and others when driving or operating machinery. The Council checks, on a regular basis, that it is up to date on best practice in this area and that systems reflect changes in the local, national or international environments		Strategic	Risks reviewed and noted that this area is of growing significance with the number and value of claims increasing. Further actions reviewed. Consideration was given to potential control measures, but these are addressed by the existing further actions.	I = 3 L = 3 High 9	I = 3 L = 3 High 9	I = 3 L = 3 High 9

Notable High and Medium Risks - Appendix A

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Risks and Action Plan Risk Identified	Risk Owner	Action Required to Address Risk	Target Date	Risk Category	Current position / progress	Status as at March 15	Status as at June 15	Current Rating as at Sept 15	
		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 02/11/2015				
2	Members not adhering to Officers advice	Resources & Support Services	Planning Peer Review Action Plan approved including a timetable for implementation, included training programme for elected members.	Throughout the year	Strategic	The progress on implementing the Action Plan was reported to Cabinet in July 2015, indicating completion of most actions. A report will be considered by Cabinet on 11 November 2015 to demonstrate progress or completion of any outstanding actions.	I = 3 L = 3 High 9	I = 3 L = 3 High 9	I = 3 L = 3 High 9
3	Failure to transfer all staff on to revised contract terms	Waste & Recycling Strategy	Actions required to reduce the risk include: Contracts to be signed and returned Review of TUPE issues Undertake to complete TUPE for all relevant employees.	October 2015 Nov'ber 2015 April 2016	Project	Sub-project HR plans are in place and are being progressed in order to complete the actions required to manage this risk. However, final risk rating has been reduced to a medium amber during this quarter as a result of negotiations with the workforce. This risk will therefore be removed from the next quarter report.			I = 3 L = 3 High 9
4	Failure to engage or consult with key stakeholders	Communication Strategy			Project	Consultation framework and toolkit in place and available for staff on the Intranet to assist with correct approach.	I = 2 L = 3 Medium 8	I = 2 L = 3 Medium 8	I = 2 L = 3 Medium 8

**Notable High and Medium Risks -
Appendix A**

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		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 02/11/2015	as at March 15	as at June 15	as at Sept 15	
5	Strategic Housing	To support Staffordshire County Council (as lead organisation) and other local partners in responding to the Government's request for support in relocating Syrian Refugees.	Dec-15	Strategic	Government 'Gateway' programme to support refugee resettlement		I = 2 L = 3 Medium 8	I = 2 L = 3 Medium 8	